### PATENT APPLICATION

# THADE NOTHE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q76204

Koji NAOE

Appln. No.: 10/601,593

Group Art Unit: 1762

Confirmation No.: 1475

Examiner: Alain L. BASHORE

Filed: June 24, 2003

For: METHOD FOR PRODUCING MAGNETIC RECORDING MEDIUM

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

## **MAIL STOP AMENDMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

### 1. JP 7-153074

### 2. JP 4-47526

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes

**INFORMATION DISCLOSURE STATEMENT** 

U.S. Appln. No.: 10/601,593

Attorney Docket No.: Q76204

concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p)

prosecution in the application (whichever is earlier), and therefore Applicant is filing

is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicants enclose herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents, together with an

English-language translation of the Communication indicating the degree of relevance found by

the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove

any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account.

Respectfully submitted,

Registration No. 47,121

Keiko K. Takagi

SUGHRUE MION, PLLC

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Facsimile: (202) 293-7860

WASHINGTON OFFICE

Date: August 28, 2006

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(use as many sheets as necessary)

of

Complete if Known					
Application Number	10/601,593				
Confirmation Number	1475				
Filing Date	June 24, 2003				
First Named Inventor	Koji NAOE				
Art Unit	1762				
Examiner Name	Alain L. BASHORE				
Attorney Docket Number	Q76204				

			U.S.	PATENT DOCU	MENTS
Examiner Initials*	Cite No.1	Document Number		Publication	
		Number	Kind Code <sup>2</sup> (if known)	Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document
		US			
		US			
		US			
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		·		FOREIGN P	ATENT DOCUME	NTS	
		Foreign Patent Document			Publication		
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup>	Number⁴	Kind Code <sup>5</sup> (if known)	Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		JР	7-153074		06-16-1995		
		JP	4-47526		02-17-1992		
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		Communication from Foreign Patent Office dated June 16, 2006	Yes

Signature Considered	Examiner	Date		 	
	Signature	Cons	idered	 	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.

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METHOD FOR PRODUCING MAGNETIC RECORDING MEDIUM

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Registration No. 47,121

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WASHINGTON OFFICE 23373
CUSTOMER NUMBER

Date: August 28, 2006